



CONTROL AND OPTIMIZATION IN SMART-GRIDS

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Course topics

- Session 1: Introduction to Power systems
 - Context and motivation
 - Power flow analysis
 - Economic dispatch
- Session 2: Renewable sources
 - Stochastic models of variable sources
 - Dispatching random sources
- Session 3: Energy dispatch
 - Risk-limiting dispatch
 - Matlab session



Course topics

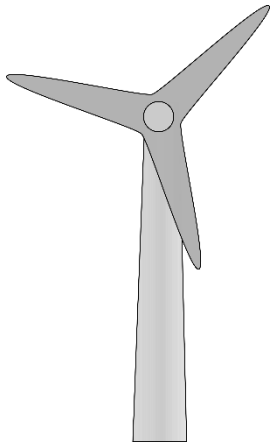


- Session 4: Incentive-based demand response
 - Modeling demand
 - Peak time rebates
 - Contract design for demand response
- **Session 5: Flexible loads**
 - **Modeling flexibility**
 - **Load dispatch**
 - **Case study: Electric vehicles**
- Session 6: Micro-grids
 - Lean energy concept
 - Joint generation and load dispatch



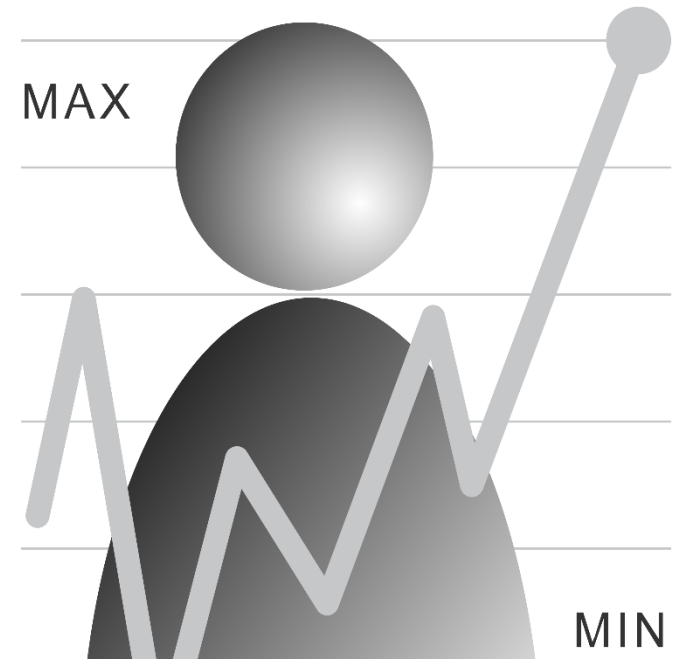
Demand Side Management

- New paradigm in grid operation
- Active consumers are responsible of grid balance
- ICT-based



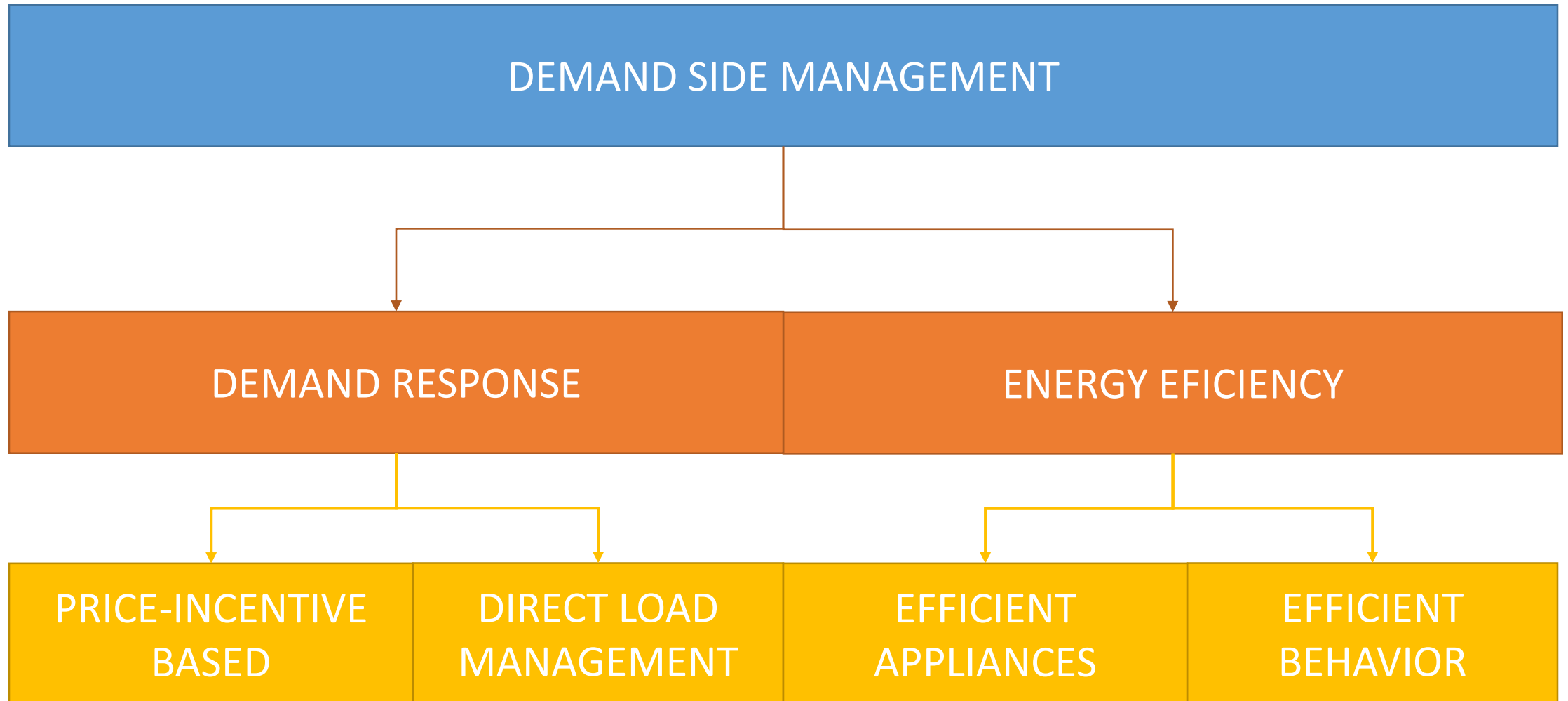
*Power and Information
Bi-directional flow*

PRO-SUMER





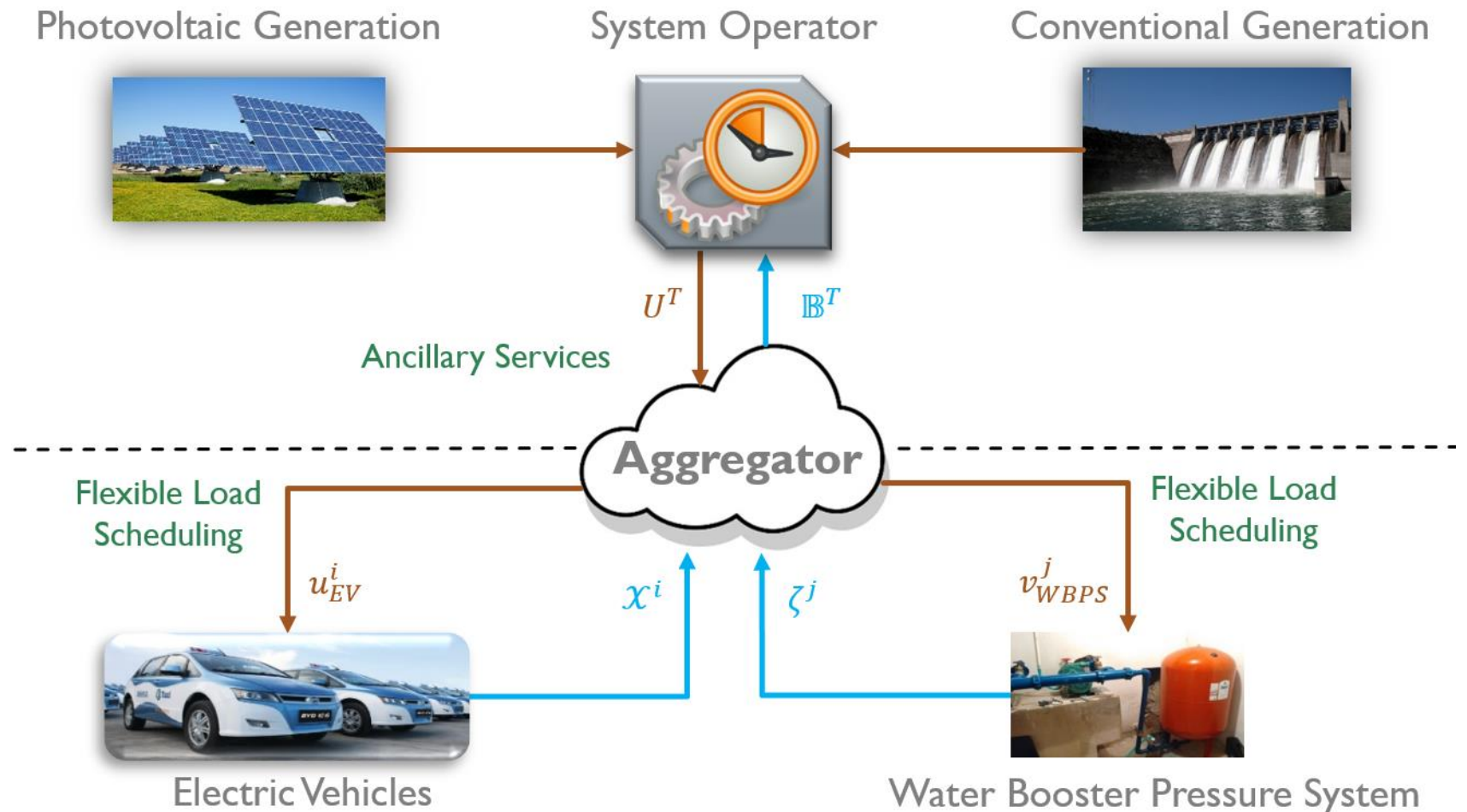
Demand Side Management



Demand Response

Objective: to maintain the energy balance.

- Demand response takes advantage of flexible loads.
- To provide ancillary services to the electrical grid.





Flexible loads

What is a flexible load?

Flexible load: A load is said to be flexible if its power consumption can be modified with respect to an scheduled demand.

- Interruptible: Stop consumption
- Deferrable: Shift consumption

Baseline: Expected energy consumption of a given load when it does not provide any flexible service.

- Counterfactual model
- Critical information for operation and rewards



Flexible loads

- Is it possible to modify the power consumption of the following loads, **WITHOUT** heavily affecting the service they offer?

Lighting systems

- Interruptible
or
- Deferrable





Flexible loads

- Is it possible to modify the power consumption of the following loads, **WITHOUT** heavily affecting the service they offer?

Electric Vehicles

- Interruptible
or
- Deferrable



Flexible loads

- Is it possible to modify the power consumption of the following loads, WITHOUT heavily affecting the service they offer?

Pool Pumping Systems

- Interruptible
or
- Deferrable





Flexible loads

- Is it possible to modify the power consumption of the following loads, **WITHOUT** heavily affecting the service they offer?

Thermostatically controlled Loads

- Interruptible
or
- Deferrable





Problem Context

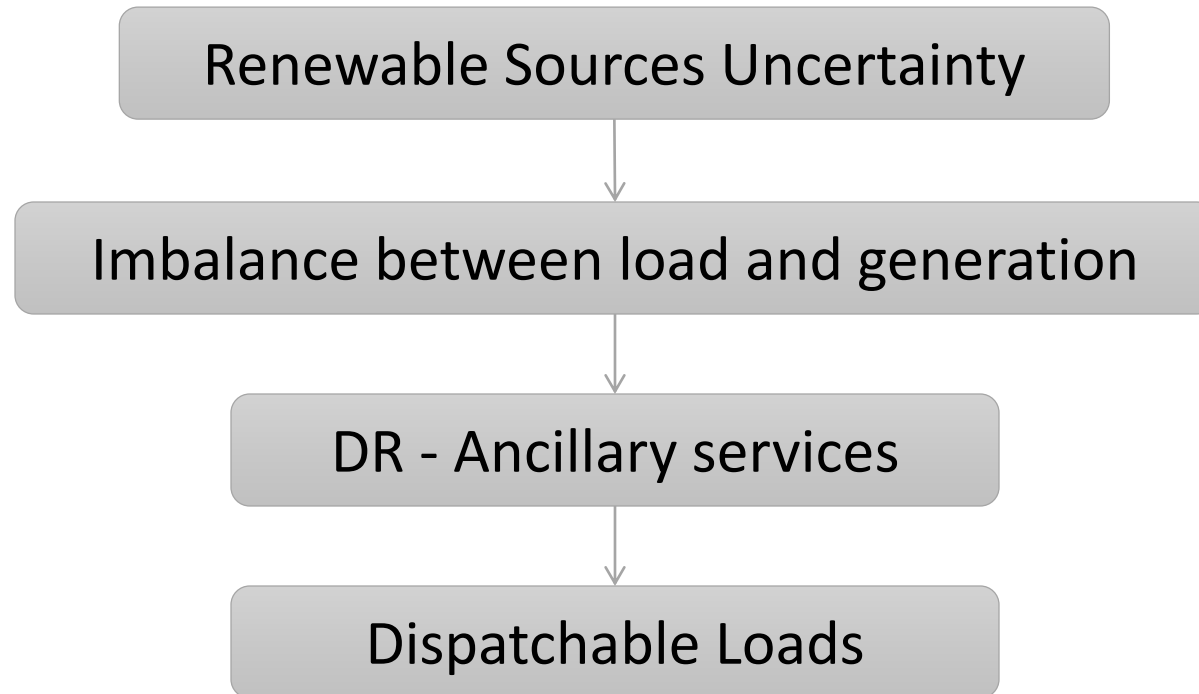
Renewable Sources Uncertainty



Imbalance between load and generation

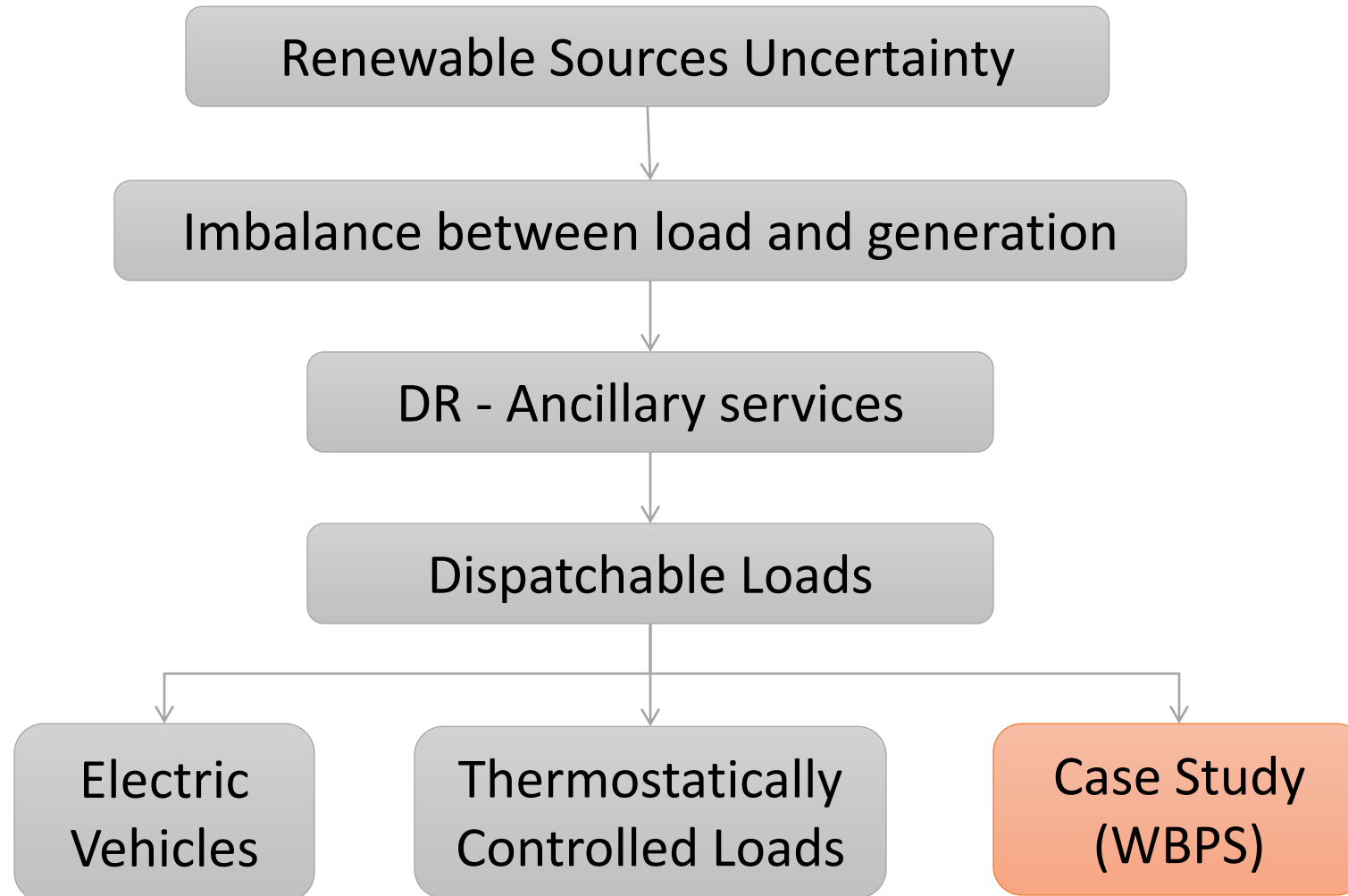


Problem Context





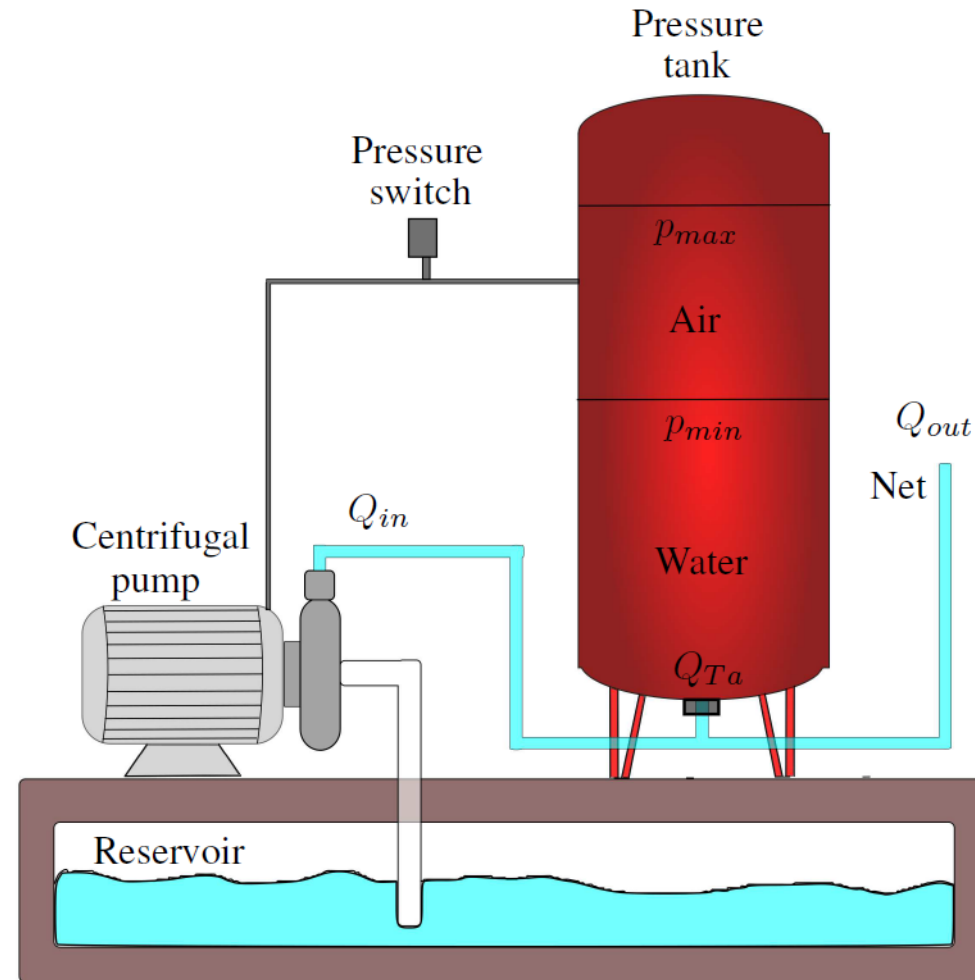
Problem Context





Water Booster Pressure System

- Plenty of buildings are using these hydraulic systems.
- They are potentially useful to offer energetic services.





Preliminary results

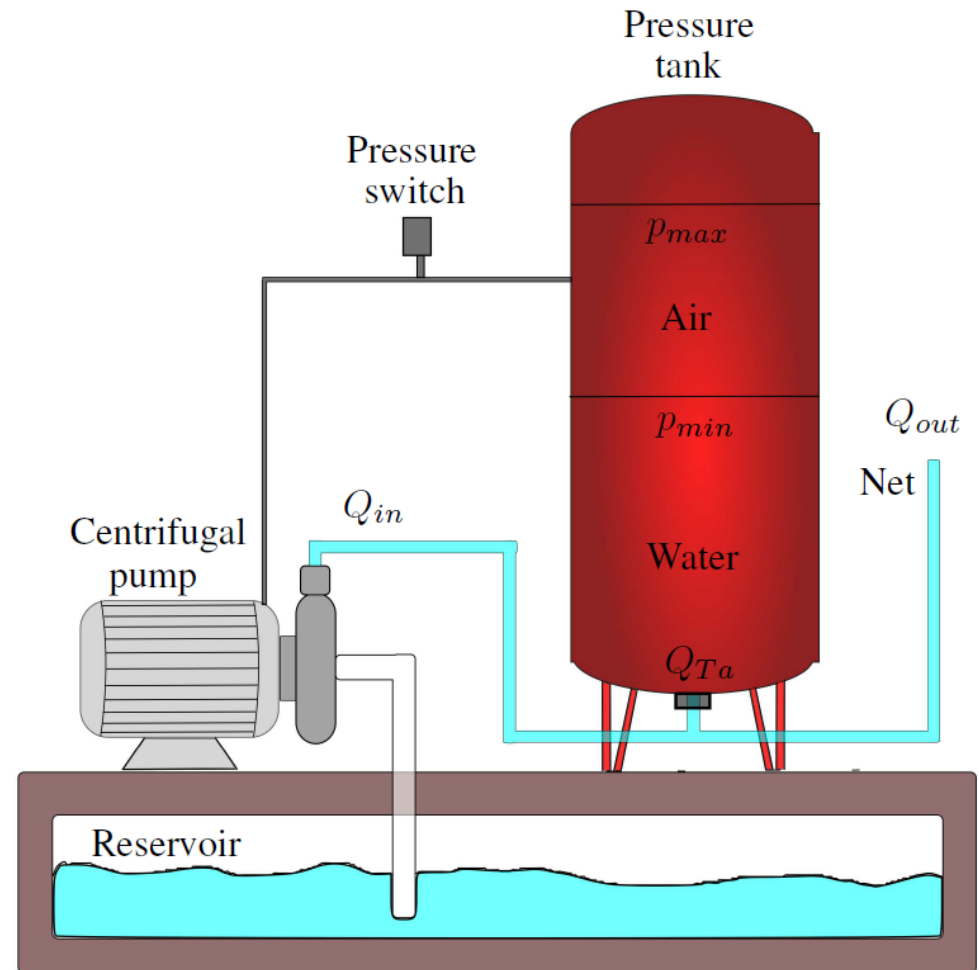
Water Booster Pressure System (WBPS)

- Plenty of buildings are using these hydraulic systems.
- They are potentially useful to offer energetic services.
- Variables:

Input $\rightarrow Q_{in}(t)$

Output $\rightarrow P_{Cp}(t)$

State $\rightarrow Q_{Ta}(t)$





Dynamic Model

- WBPS Dynamics:

$$\dot{V}_f(t) = Q_{Ta}(t) = Q_{in}(t) - Q_{out}(t)$$

$$p_{air}(t) = (p_{pr} + p_a) \frac{V_T}{V_T - V_f(t)} - p_a$$

$$P_{Cp}(t) = \frac{(p_{air}(t) - p_a) * Q_{in}(t)}{c_u \eta}$$

$$Q_{in}(k\Delta t) = \begin{cases} Q_{Cp} & \text{if } p_{air}(k\Delta t) \leq p_{min} \\ Q_{in}((k-1)\Delta t) & \text{if } p_{min} < p_{air}(k\Delta t) < p_{max} \\ 0 & \text{if } p_{air}(k\Delta t) \geq p_{max} \end{cases}$$

- Minimum pressure in the highest taps

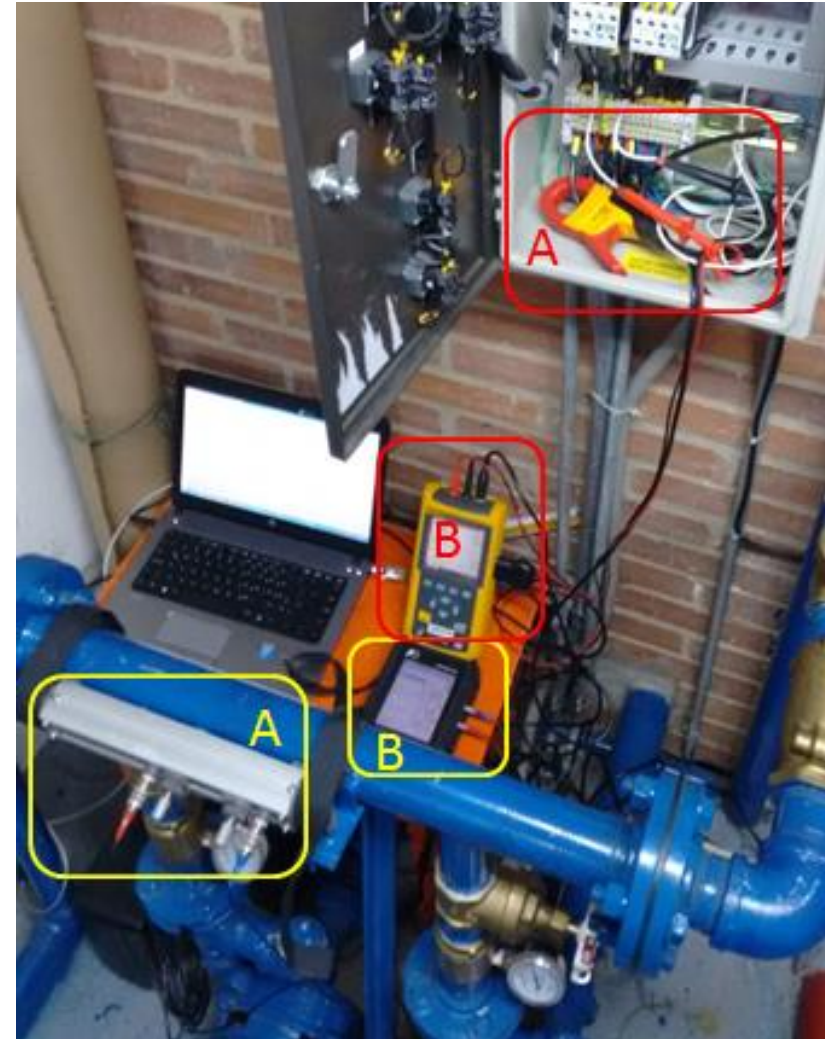
$$p_{min} > \rho gh + p_{tap}$$



Experimental data acquisition

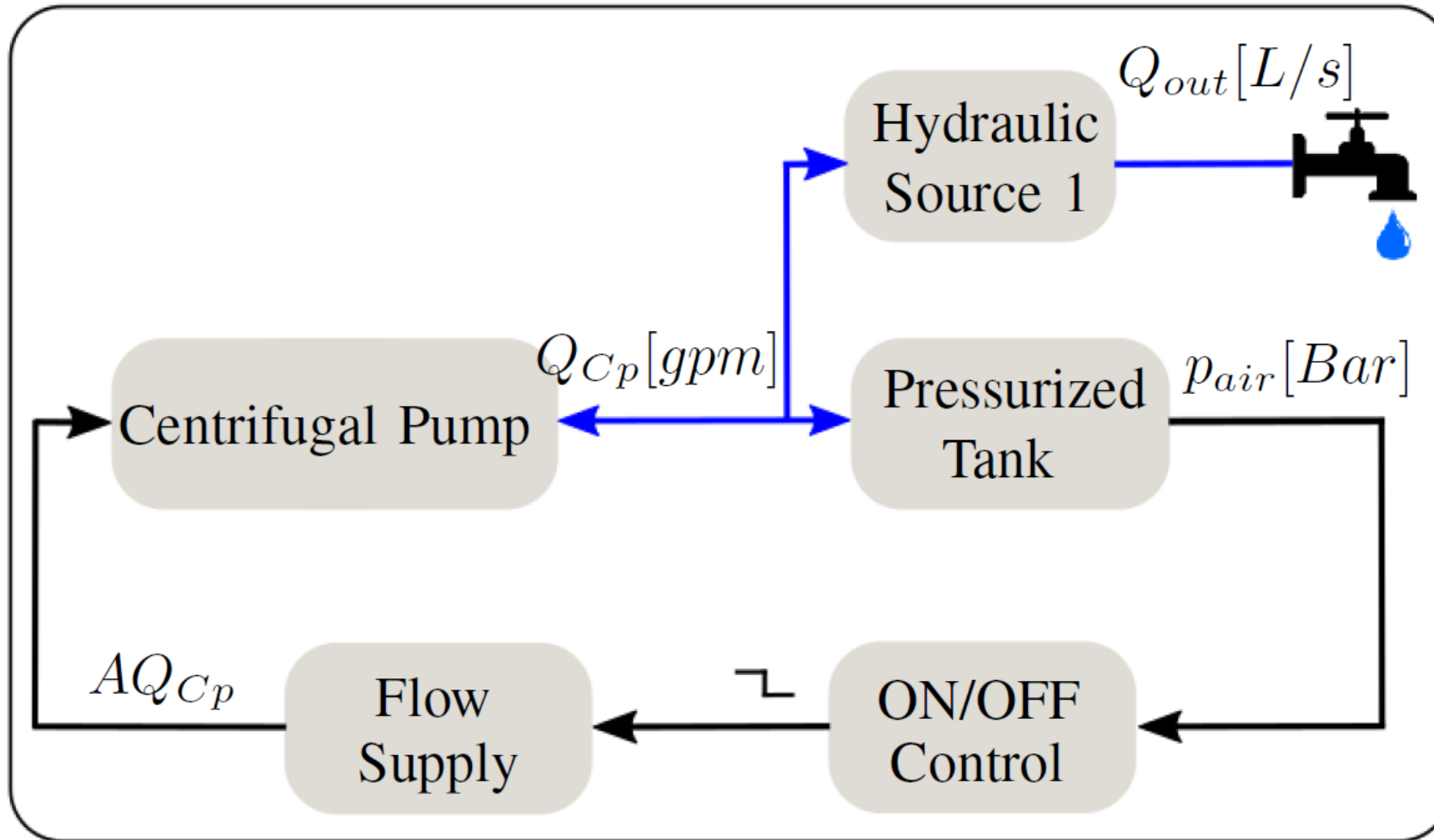
They were recorded from the WBPS of a 6-floor building of labs and offices.

$$P_{Cp} \text{ and } Q_{out}, T_s = 10s$$





Simulation

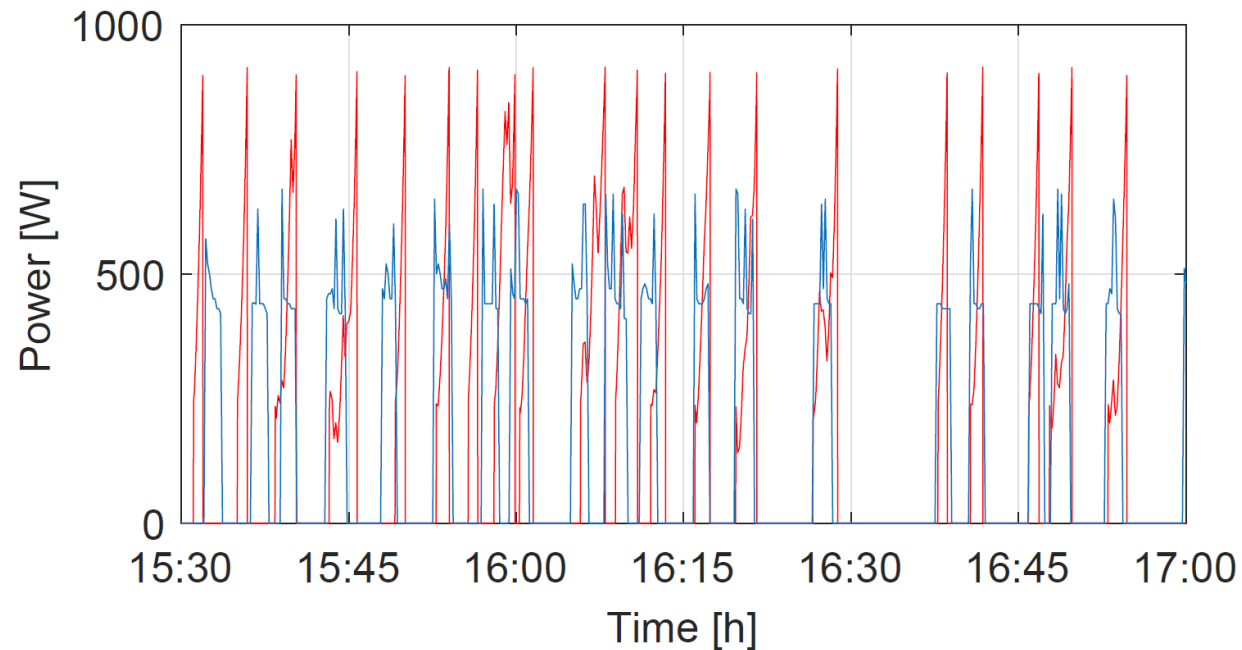


It is performed in
MATLAB®
software using
Simulink



Validation

Power consumed in the experimental data (blue) and simulated (red) pump.



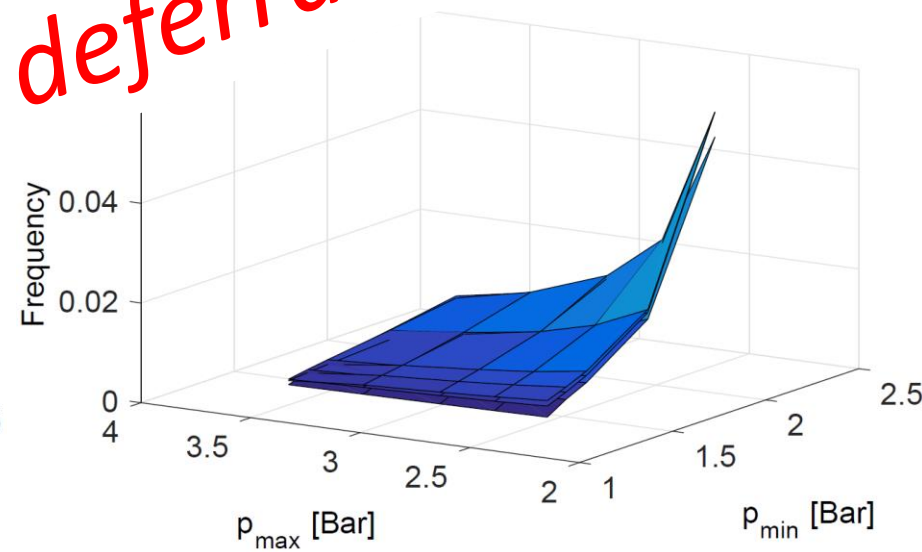
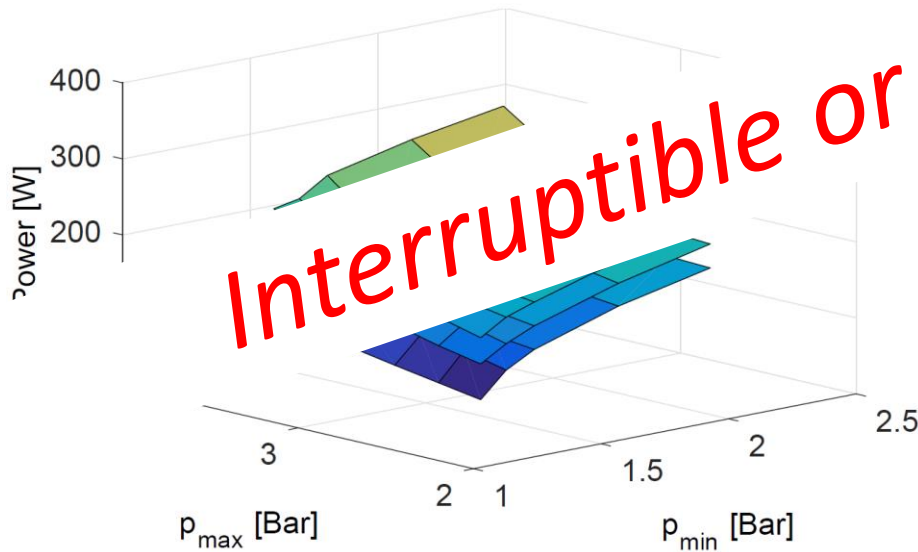
Validation	Energy consumption	Time the pump is ON
Experimental	148,6 Wh	29 min 20 s
Simulation	145 Wh	30 min 0 s

The energy error is 2,42%.



How would the power consumption be altered by varying pressures p_{min} and p_{max} ?

Variable	Pressure (Bar)			
	Min	Medium A	Normal	Medium B
p_{min}	1.31	1.41	1.52	1.85
p_{max}	2.41	2.65	2.90	



Interruptible or deferrable?????



Analysis of Energy Services

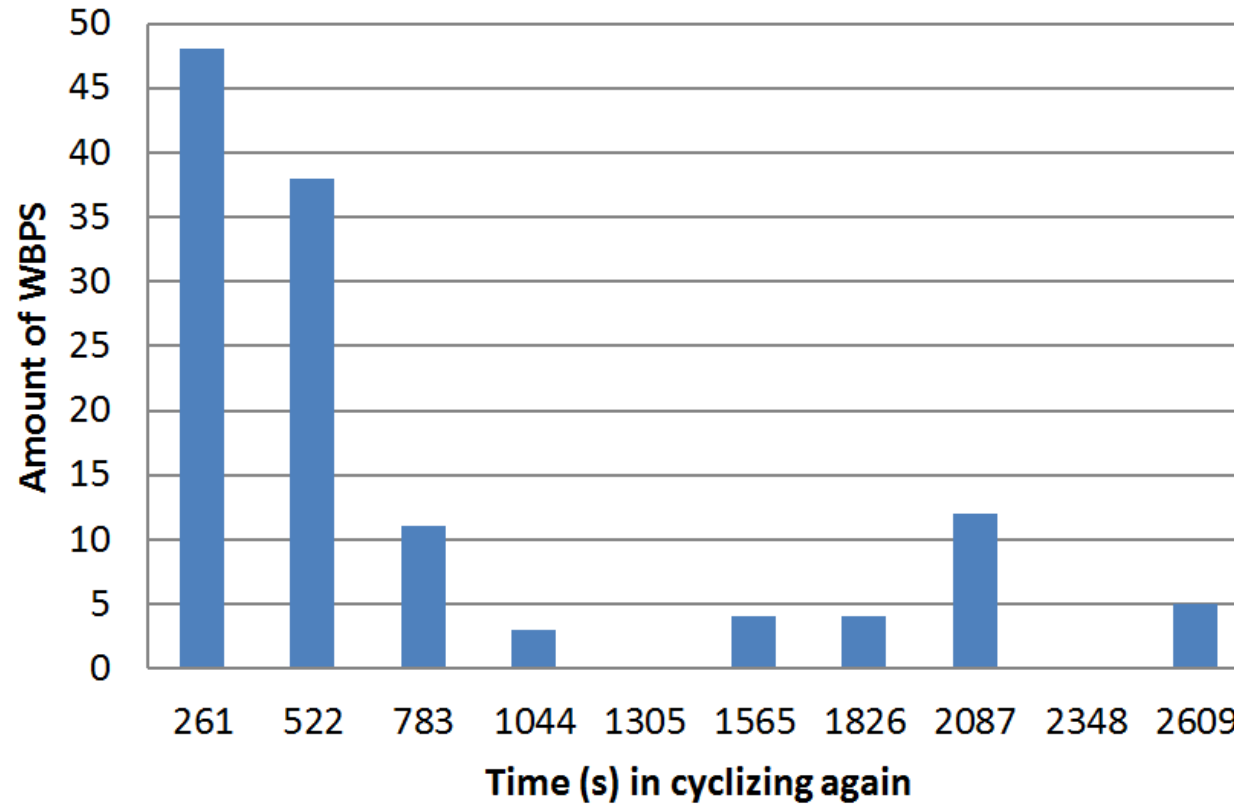
Power consumption can be altered by varying pressures p_{min} and p_{max} .

- Pressure in p_{tap} is reduced 25%.
- Water supply does not stop at any moment.

The average power decrease is 27%.



Analysis of Energy Services



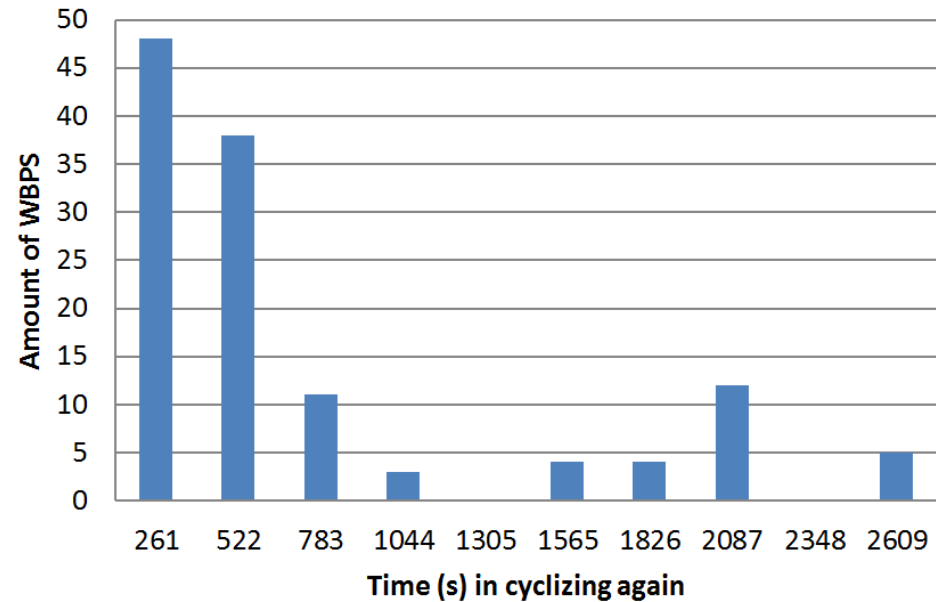
Approximately 70% of the systems are delayed less than 540 s (9 min) for cycling again.



Analysis of Energy Services

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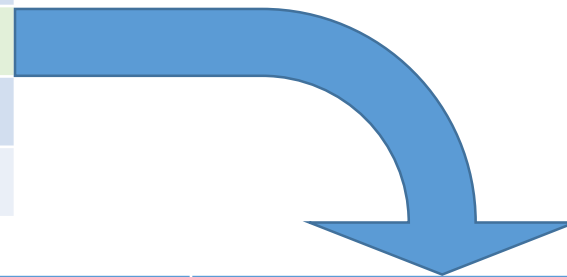
What service can be offered to the SO?



According to the energy services usually employed by SO, which service can a WBPS provide?

- According to the FERC (Federal Energy Regulatory Commission) definitions of reserves services are:

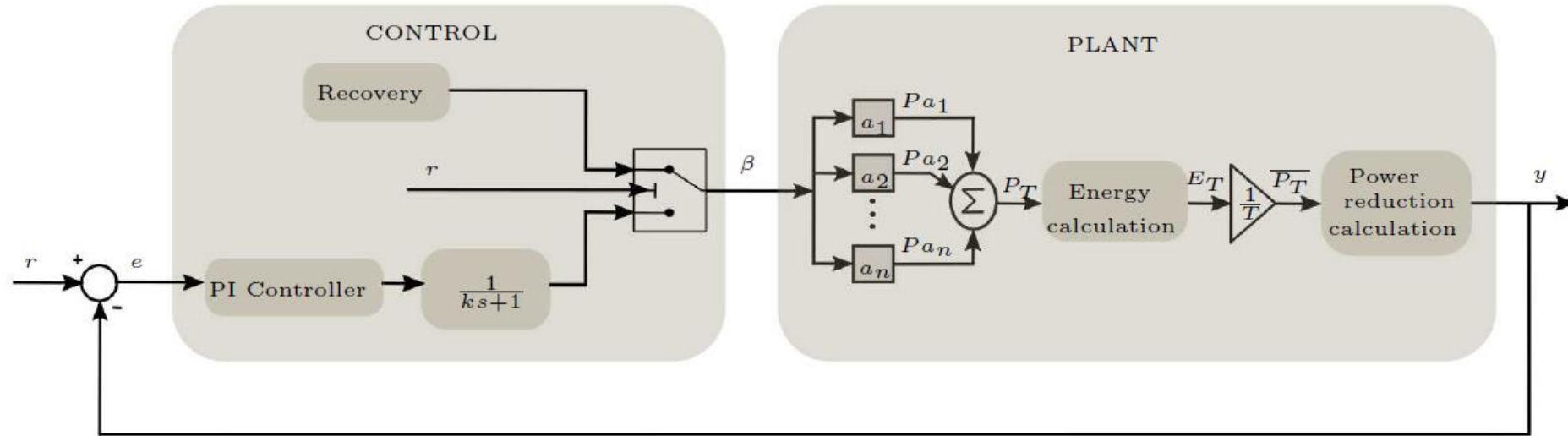
Reserve service	Time response (Within)	Maintained time
Regulation reserve	15 - 30 s	10 or 15 min
Spinning reserve	10 min	105 min
Non-spinning reserve	10 min	105 min
Replacement reserve	30 min	105 min



Reserve service	Valuable economically for SO
Non-spinning reserve	2 to 8 times
Replacement reserve	2 to 20 times

Aggregator Proposal

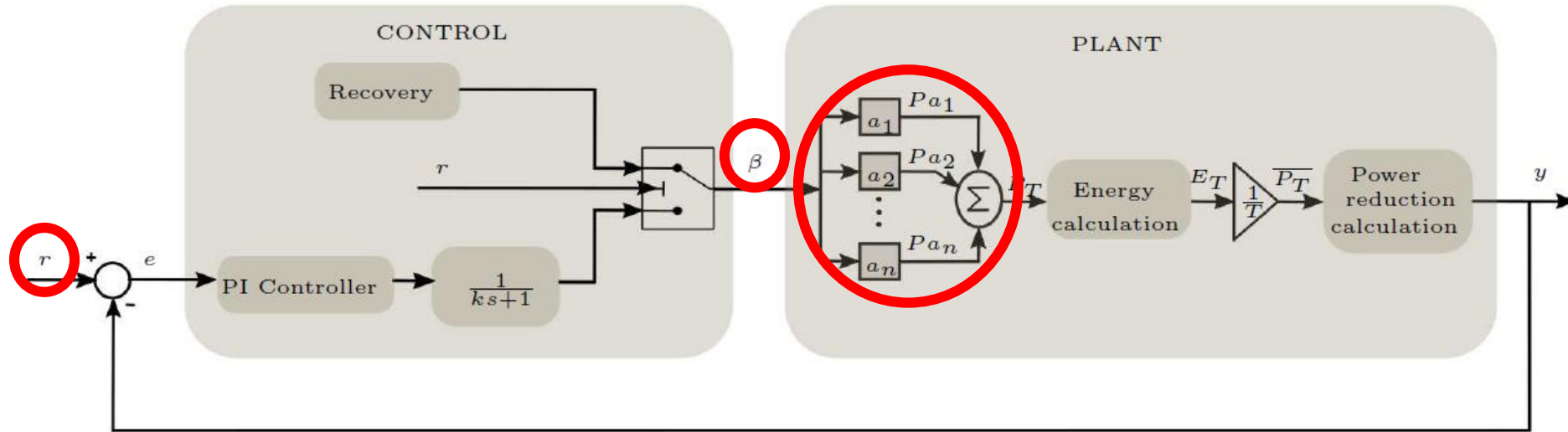
- Control strategy to provide Spinning reserve service.



- Controlled variable: y , a power reduction of the set of WBPSs.
- Manipulated variable: β , number of systems that should be enabled or disabled. Each system receives a binary signal.
- Reference signal: r , power reduction sent by the SO.

Aggregator Proposal

- Control strategy to provide Spinning reserve service.

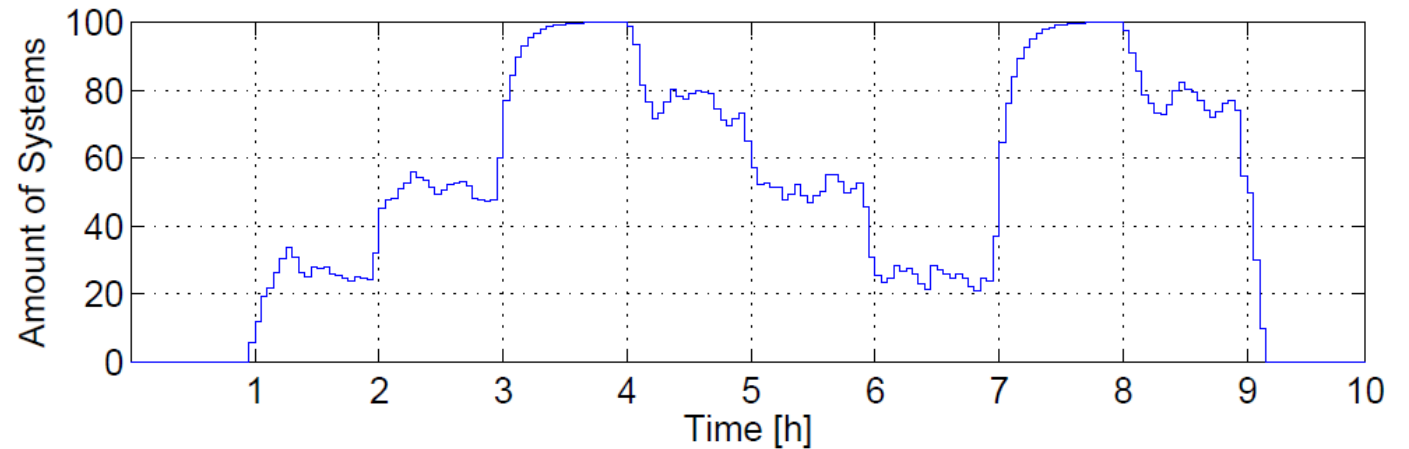
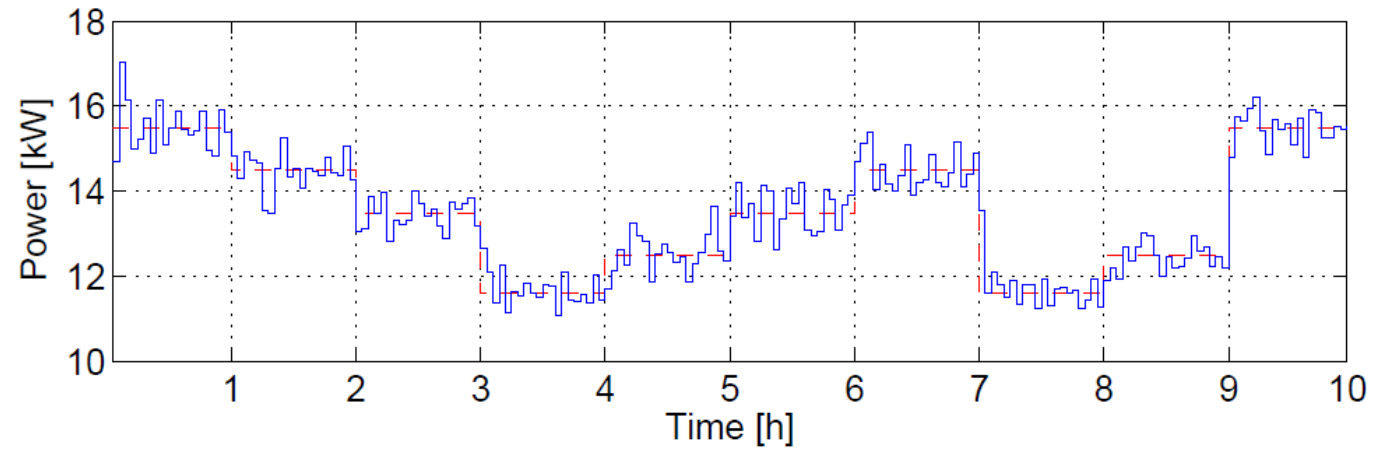


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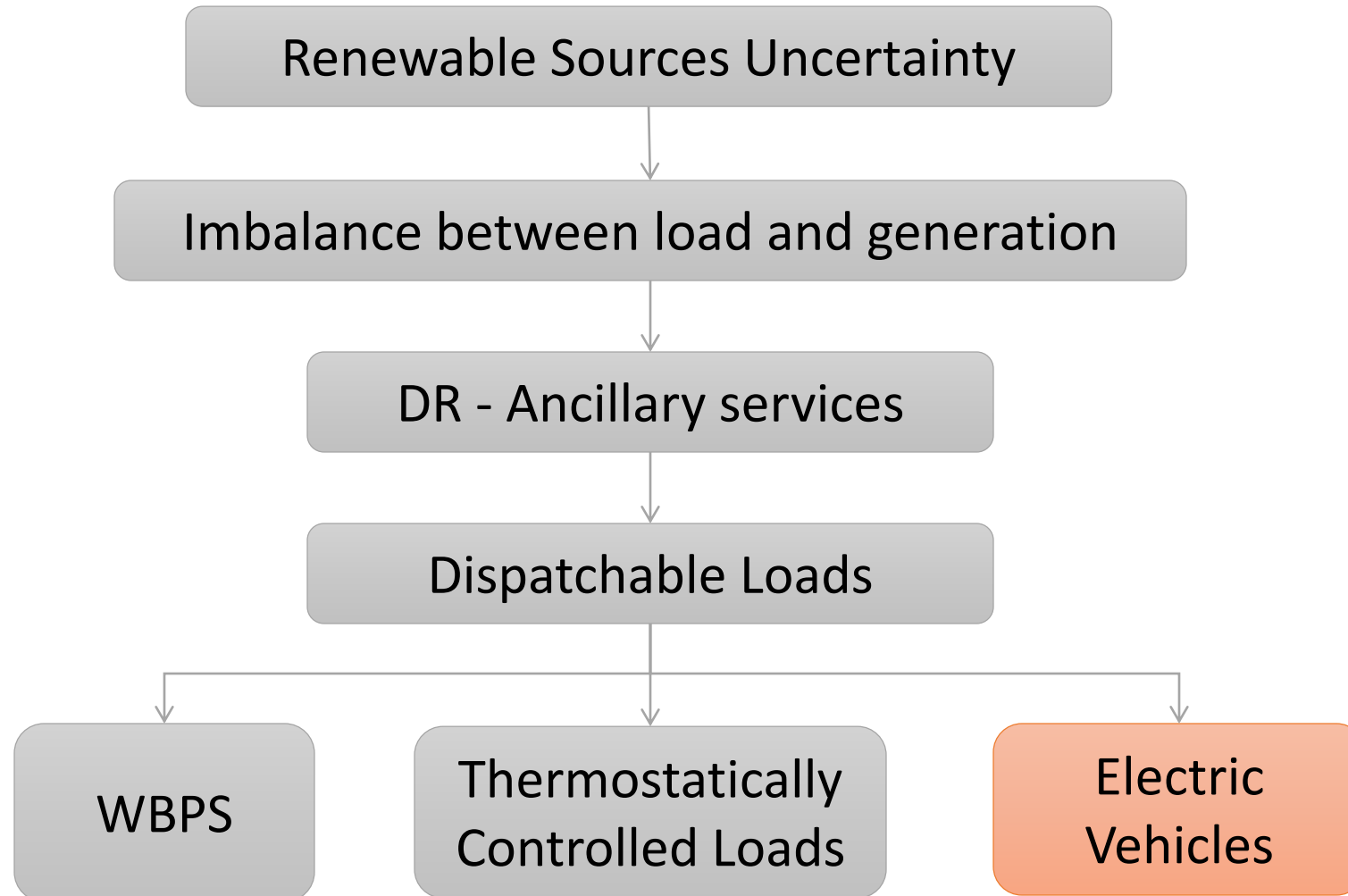
Aggregator Proposal

- A Gain-Scheduled (GS) controlled is proposed.
- The aggregator follow time-varying reduction signals (red) requested by the system operator.



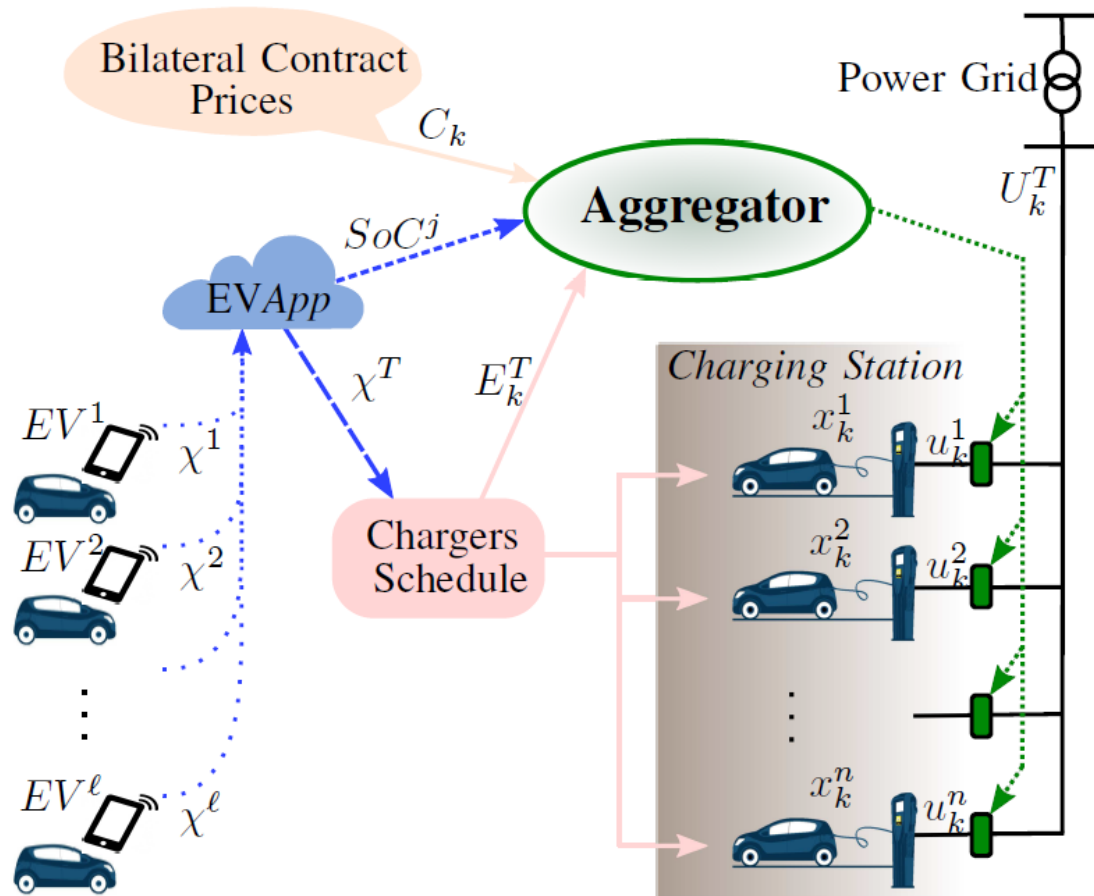


Problem Context





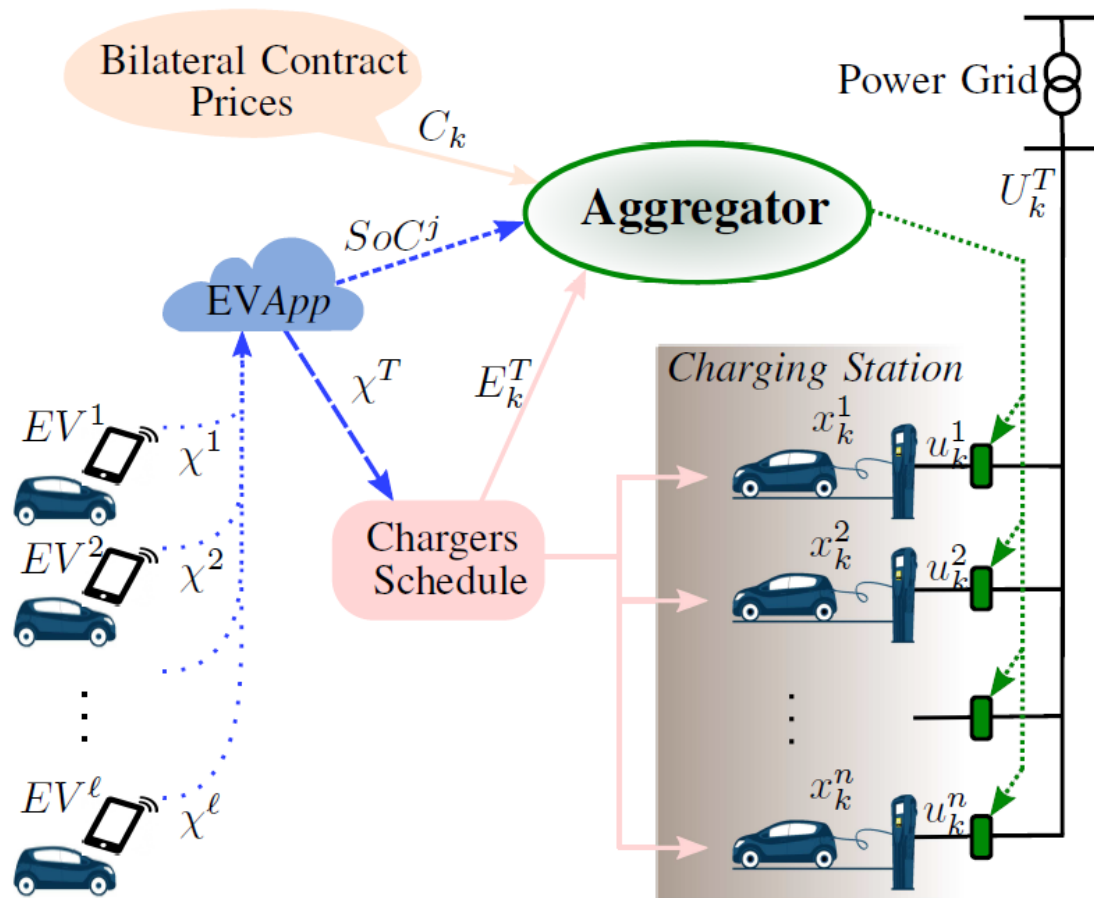
Aggregator for an Electric Vehicle Charging Station



- EV batteries can behave as flexible loads.
 - Varying the charging power.
- The EV need to be charged up to a required SoC.
- 3 charging strategies are analyzed
 - Standard
 - MPC with complete information
 - MPC with uncertainty in the EV arrival SoC
- The aggregator (MPC) decides the power to charge EV depending on:
 - Energy price
 - Time spent by EVs at the charging station



Aggregator for an Electric Vehicle Charging Station



- How can we model the SoC evolution (dynamic model)?
- What happens when a car arrives or leaves the Charging station?
- Do we need to consider the discharge process while the car moves around?
- **NOVEL APPROACH:**
 - The system that evolves in time is the Charger NOT the Car.
 - A charger can handle multiple cars in one day
 - The SoC of the plugged car evolves with the battery dynamics
 - When a car leaves, the charger can not act as a flexible load
 - When a car arrives, the system state “jumps” to the car SoC.



Aggregator for an Electric Vehicle Charging Station

- Economic dispatch problem taking into account chargers:

$$\begin{aligned} \min_u \quad & J = \Delta t \sum_{k=1}^N \left(C_k \sum_{i=1}^n u_k^i \right) \\ \text{s.t.} \quad & x_{k+1}^i = \begin{cases} x_k^i + \Delta t u_k^i & \text{if } E_k^i = 1, \quad k \neq a^j \\ SoC_0^j & \text{if } E_k^i = 1, \quad k = a^j \\ 0 & \text{if } E_k^i = 0 \end{cases} \\ & x_{dj}^i = SoC_F^j \\ & 0 \leq x_k^i \leq x_{max}^i \\ & 0 \leq u_k^i \leq u_{max} \\ & \forall k = 1, 2, \dots, N, \quad i = 1, 2, \dots, n \quad j = 1, 2, \dots, \ell \end{aligned}$$

$$E_k^i = \begin{cases} 1 & \text{if } x^i \text{ has an EV connected at } k \\ 0 & \text{if } x^i \text{ has not an EV connected at } k \end{cases}$$

- Dealing with Uncertainty:

- The optimal power injection sequence $u(1), \dots, u(N)$, does not take into account variation in arrival times, initial SoC,
- A feedback strategy is needed to counteract uncertain events.
- MPC solution!



Aggregator for an Electric Vehicle Charging Station

- Economic dispatch problem taking into account chargers: dynamics

$$\begin{aligned} \min_u \quad & J = \Delta t \sum_{k=1}^N \left(C_k \sum_{i=1}^n u_k^i \right) \\ \text{s.t.} \quad & x_{k+1}^i = \begin{cases} x_k^i + \Delta t u_k^i & \text{if } E_k^i = 1, \quad k \neq a^j \\ SoC_0^j & \text{if } E_k^i = 1, \quad k = a^j \\ 0 & \text{if } E_k^i = 0 \end{cases} \\ & x_{dj}^i = SoC_F^j \\ & 0 \leq x_k^i \leq x_{max}^i \\ & 0 \leq u_k^i \leq u_{max}^i \\ & \forall k = 1, 2, \dots, N, \quad i = 1, 2, \dots, n \quad j = 1, 2, \dots, \ell \\ & E_k^i = \begin{cases} 1 & \text{if } x^i \text{ has an EV connected at } k \\ 0 & \text{if } x^i \text{ has not an EV connected at } k \end{cases} \end{aligned}$$

- MPC solution:
 - Optimization problem solved at every sample time Δt .
 - Only the first sample of the optimal power injection sequence $u(1), \dots, u(N)$ is applied.
 - The SoC of connected vehicles is MEASURED at $t + \Delta t$, and
 - Optimization problem is solved again.



Aggregator for an Electric Vehicle Charging Station

- Economic dispatch problem taking into account chargers dynamics

$$\min_u J = \Delta t \sum_{k=1}^N \left(C_k \sum_{i=1}^n u_k^i \right)$$

$$\text{s.t. } x_{k+1}^i = \begin{cases} x_k^i + \Delta t u_k^i & \text{if } E_k^i = 1, k \neq a^j \\ SoC_0^j & \text{if } E_k^i = 1, k = a^j \\ 0 & \text{if } E_k^i = 0 \end{cases}$$

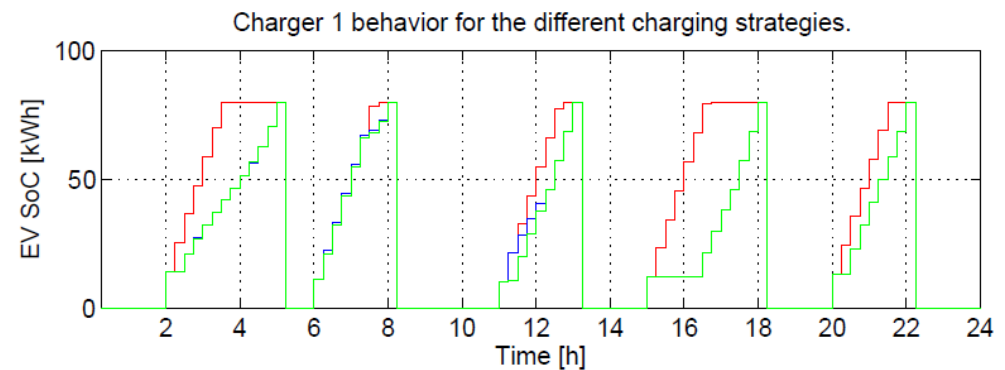
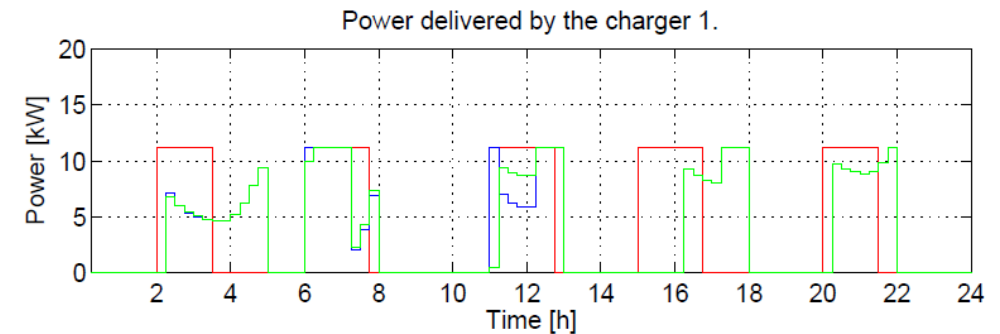
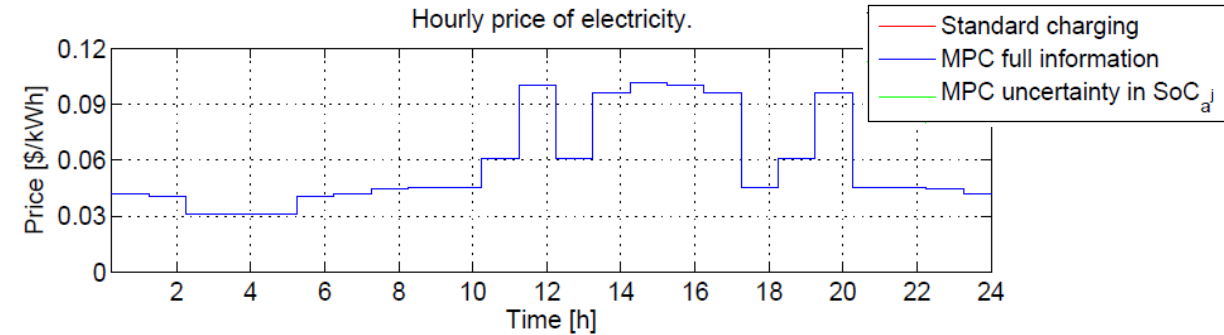
$$x_{d^j}^i = SoC_F^j$$

$$0 \leq x_k^i \leq x_{max}^i$$

$$0 \leq u_k^i \leq u_{max}$$

$$\forall k = 1, 2, \dots, N, \quad i = 1, 2, \dots, n \quad j = 1, 2, \dots, \ell$$

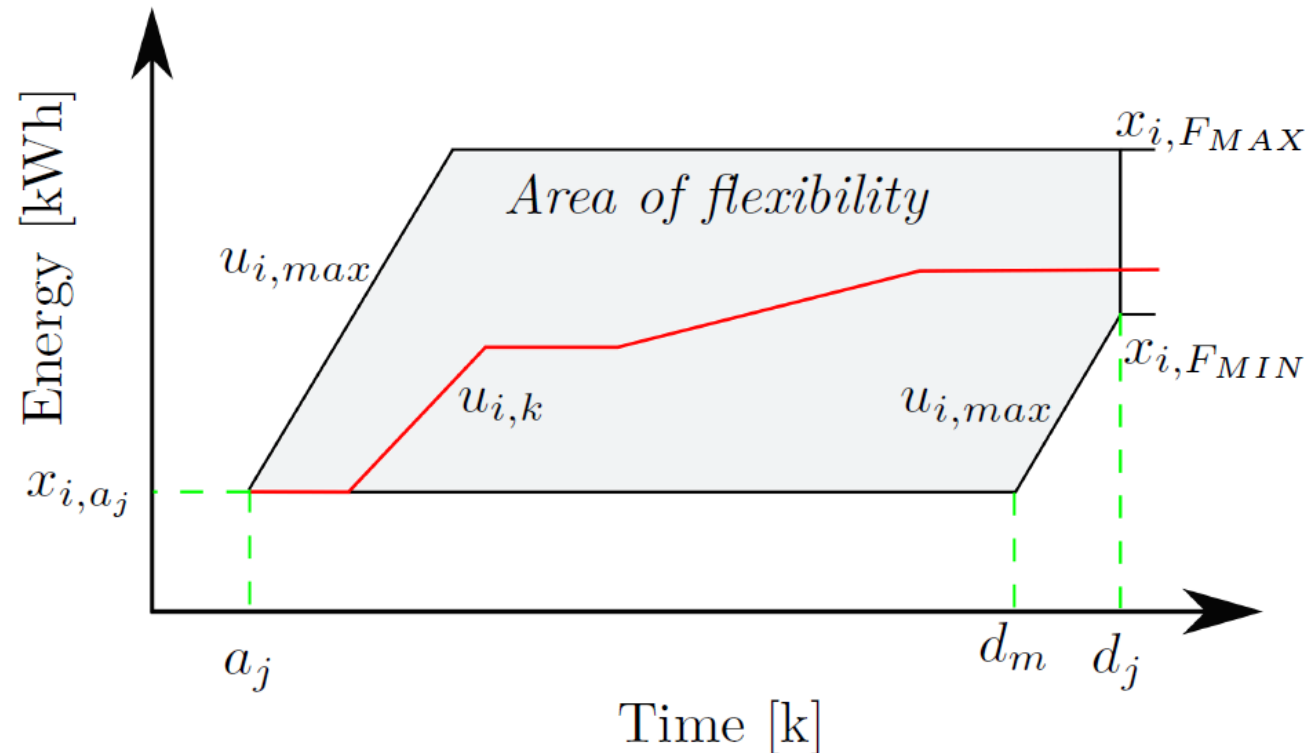
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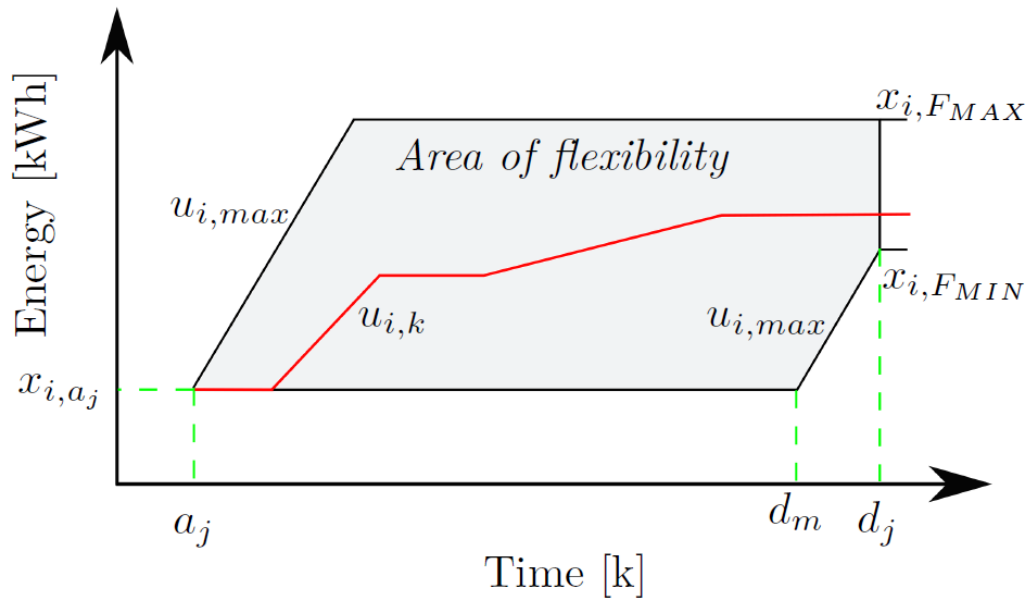
EV Charger as Flexible Load

Flexibility: Power capacity that the charging station can deviate from the optimal scheduling, WITHOUT violating constraints.



EV Charger as Flexible Load

Flexibility: Power capacity that the charging station can deviate from the optimal scheduling, WITHOUT violating constraints.



$$F_k = \sum_{i=1}^n U p_{i,k}^{Flex} - Down_{i,k}^{Flex}$$

$$U p_{i,k}^{Flex} = \begin{cases} u_{max} - u_{i,k} & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k < d_m \\ 0 & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k \geq d_m \\ 0 & \text{if } x_{i,k} = x_{i,max} \ \text{or } x_{i,k} = 0 \end{cases}$$

$$Down_{i,k}^{Flex} = \begin{cases} -u_{i,k} & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k < d_m \\ 0 & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k \geq d_m \\ 0 & \text{if } x_{i,k} = x_{i,max} \ \text{or } x_{i,k} = 0 \end{cases}$$

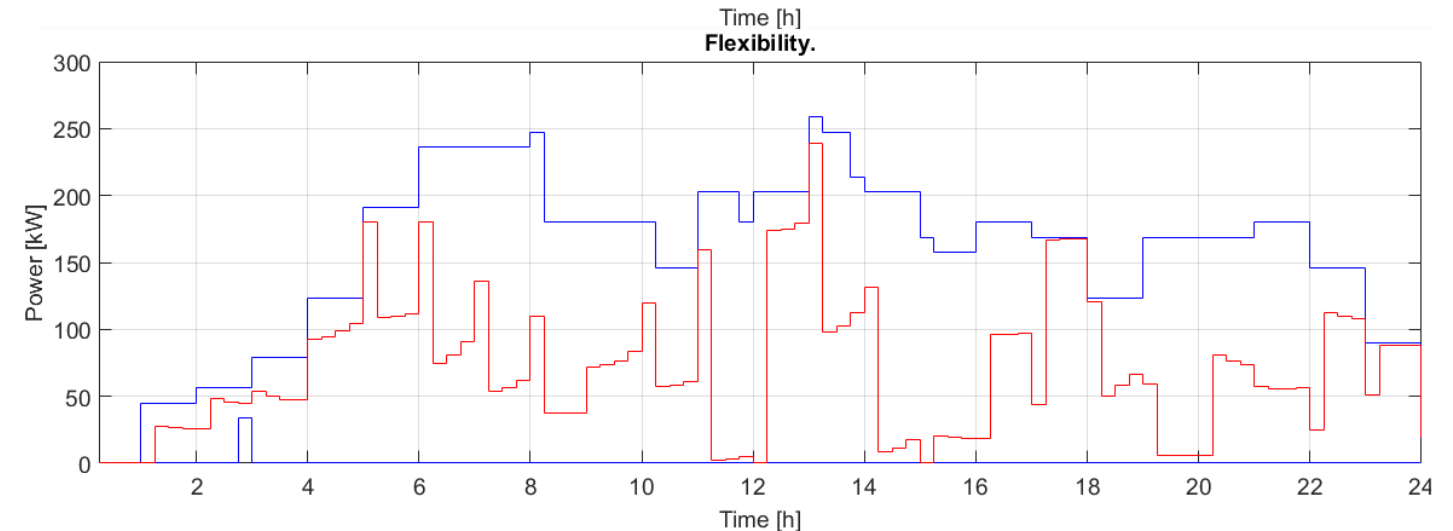
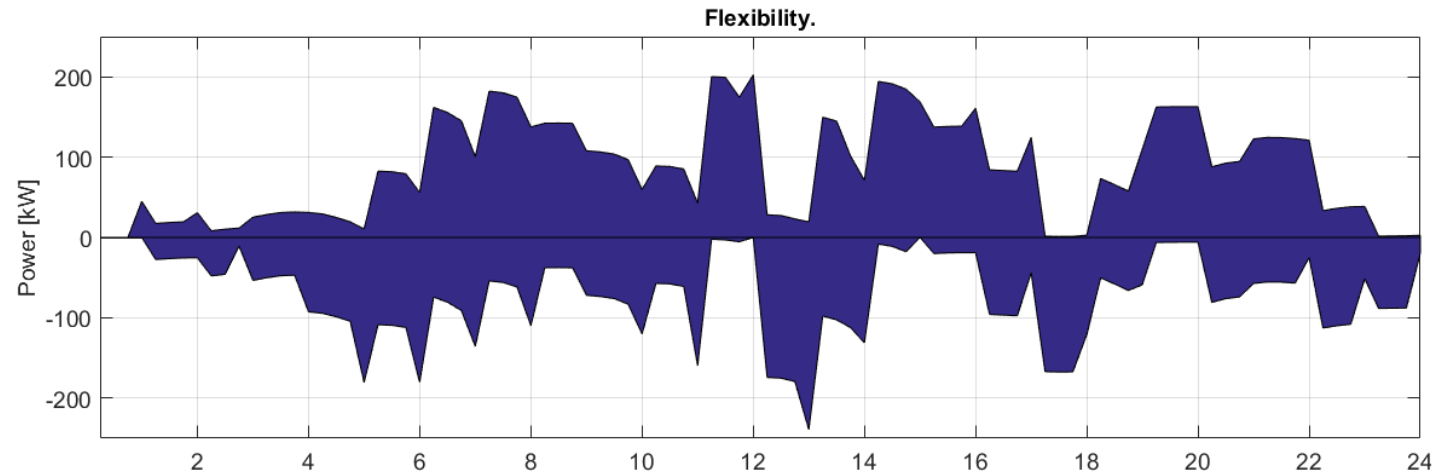


EV Charger as Flexible Load

Flexibility of a dispatch:

- Evaluated after the dispatch problem is solved
- It is not symmetric
- Varies with prices

¿Can we modify the dispatch to guarantee some flexibility capacity?





Maximizing flexibility

Economic MPC with combined objective:

$$\begin{aligned} \min_{u,F} \quad & J_F = \Delta t \sum_{k=1}^N \left(C_k \sum_{i=1}^n u_{i,k} - P_k \sum_{i=1}^n F_{i,k} \right) \\ \text{s.t.} \quad & x_{i,k+1} = \begin{cases} x_{i,k} + \Delta t u_{i,k} & \text{if } E_{i,k} = 1, \quad a_j < k < d_j \\ SoC_{j,a_j} & \text{if } E_{i,k} = 1, \quad k = a_j \\ 0 & \text{if } E_{i,k} = 0 \end{cases} \\ & x_{i,d_j} = SoC_{j,F} \\ & F_{i,k} \leq u_{i,k} \leq E_{i,k}(u_{i,max} - F_{i,k}) \\ & 0 \leq F_{i,k} \leq u_{i,max} \\ & 0 \leq x_{i,k} \leq x_{i,max} \\ & \forall k = 1, 2, \dots, N, \quad i = 1, 2, \dots, n \quad j = 1, 2, \dots, \ell \end{aligned}$$

$$F_k = \sum_{i=1}^n F_{i,k} = Up_{i,k}^{Flex} = Down_{i,k}^{Flex}$$

- Guaranteed final SOC
- Balance between Min charging cost and Ancillary service return

$$F_k = \sum_{i=1}^n Up_{i,k}^{Flex} - Down_{i,k}^{Flex}$$

Where,

$$Up_{i,k}^{Flex} = \begin{cases} u_{max} - u_{i,k} & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k < d_m \\ 0 & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k \geq d_m \\ 0 & \text{if } x_{i,k} = x_{i,max} \ \text{or } x_{i,k} = 0 \end{cases}$$

$$Down_{i,k}^{Flex} = \begin{cases} -u_{i,k} & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k < d_m \\ 0 & \text{if } 0 < x_{i,k} < x_{i,max} \ \& \ k \geq d_m \\ 0 & \text{if } x_{i,k} = x_{i,max} \ \text{or } x_{i,k} = 0 \end{cases}$$

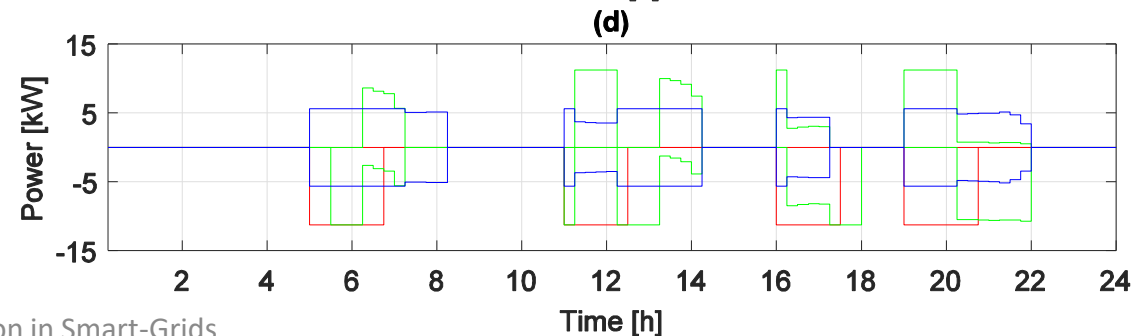
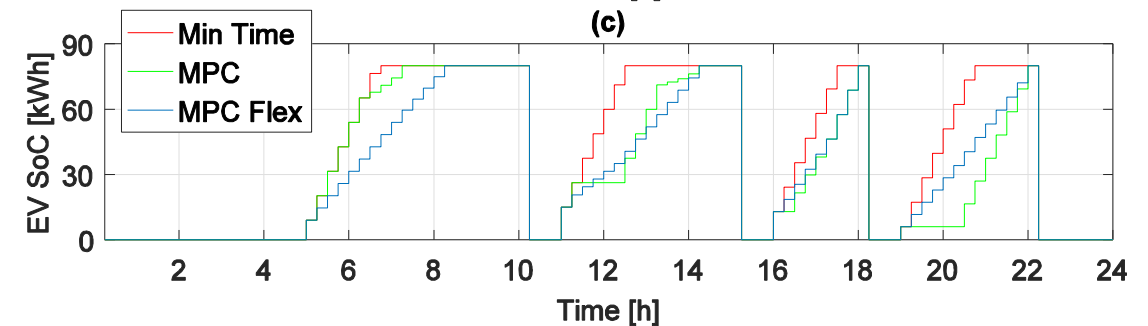
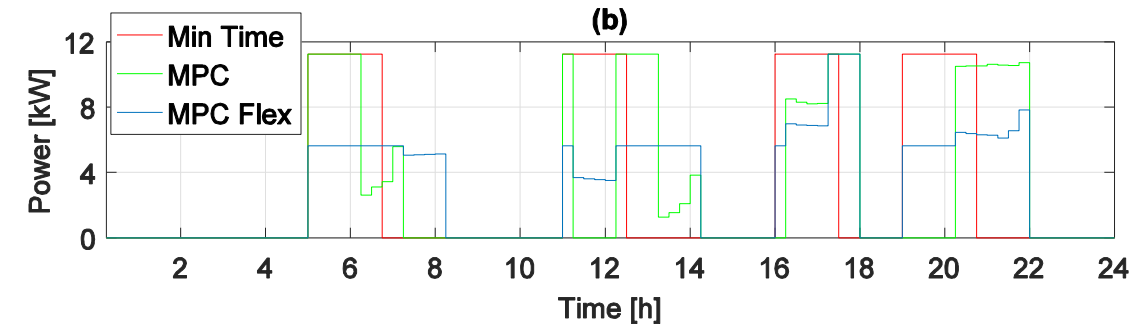
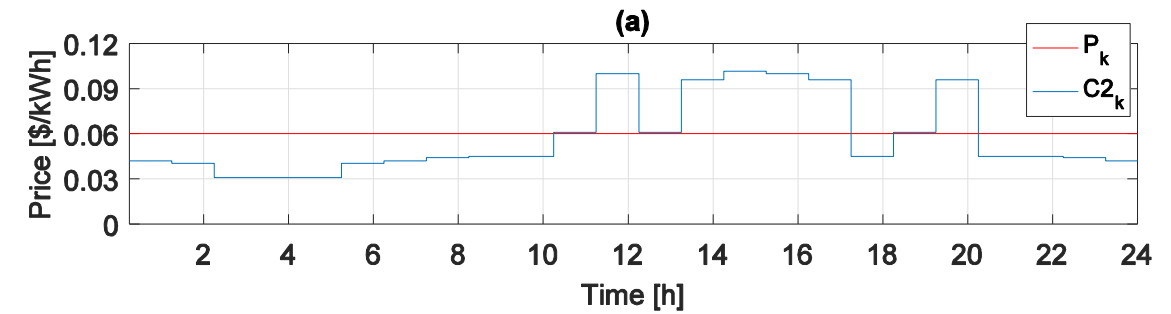


Case Study

- 10 EV y 3 Chargers

EV_j	1	2	3	4	5	6	7	8	9	10
a_j	1	5	8	11	11	12	15	16	19	19
d_j	5	10	13	15	13	15	20	18	22	21
Charger #	1	2	1	2	3	-	1	2	2	3

Charge Strategy	Cost [\$]	Savings [%]
Minimum Time	46.73	-
Economic MPC	34.24	26.74
MPC - Flexibility Maximization	43.18	7.61

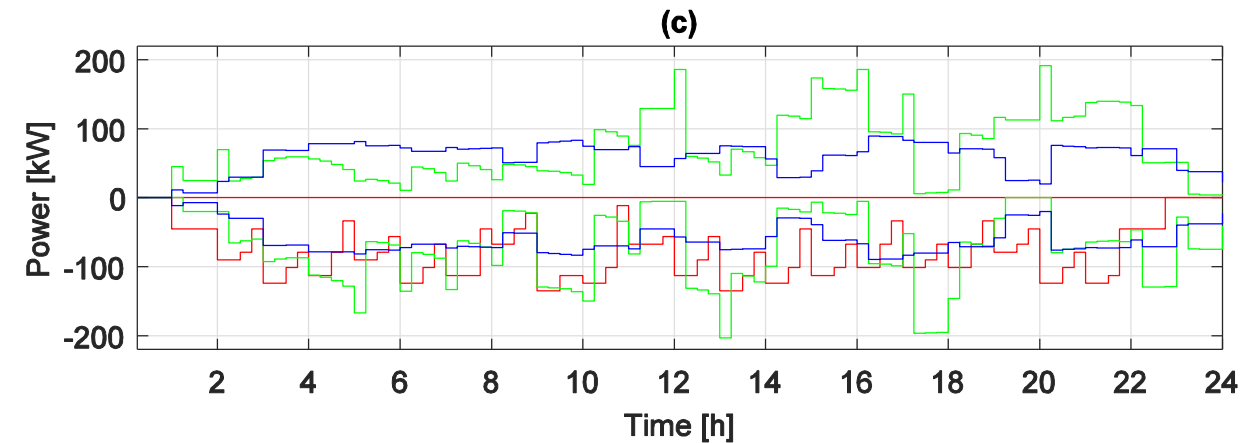
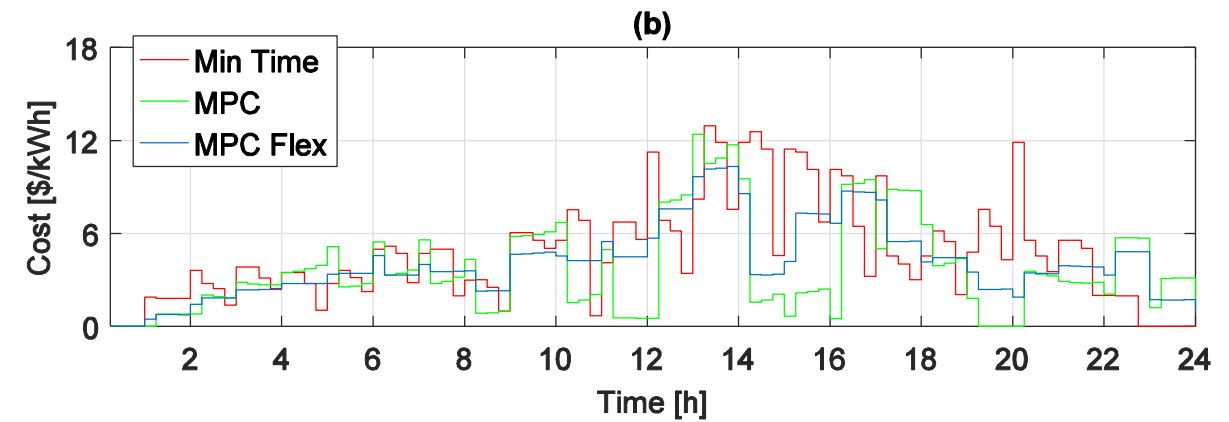
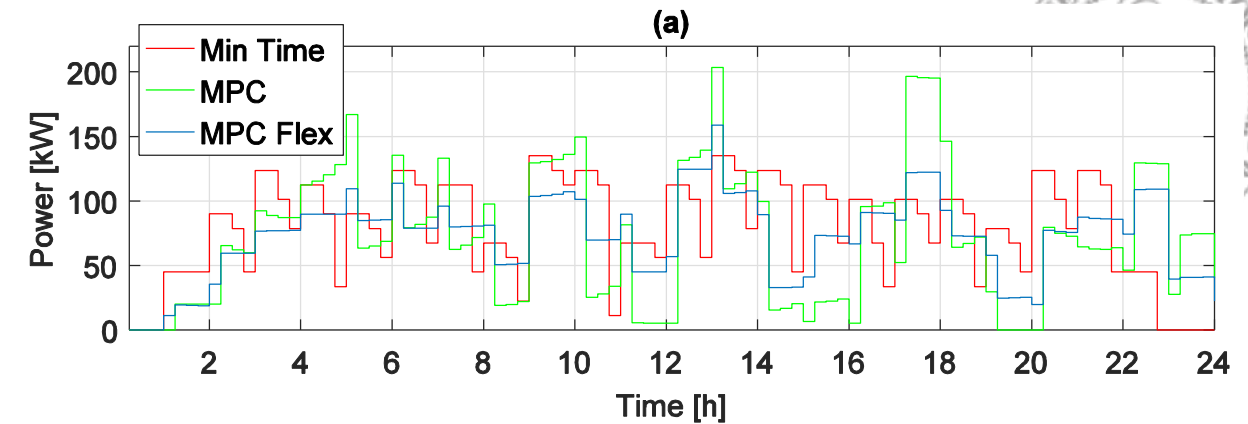
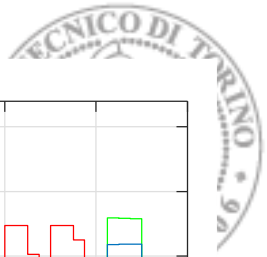




Case Study

- 100 EV y 25 Chargers

Charge Strategy	Cost [\$]	Savings [%]
Minimum Time	454.35	-
Economic MPC	356.19	21.61
MPC - Flexibility Maximization	392.38	13.64

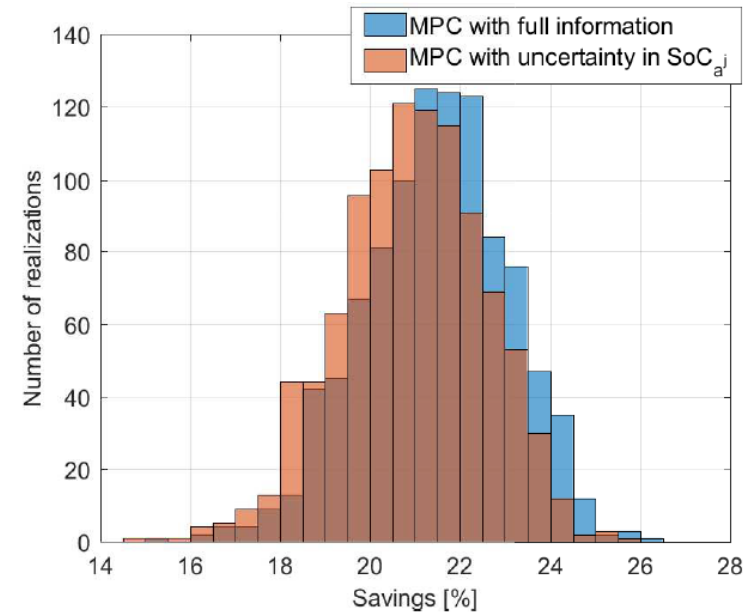
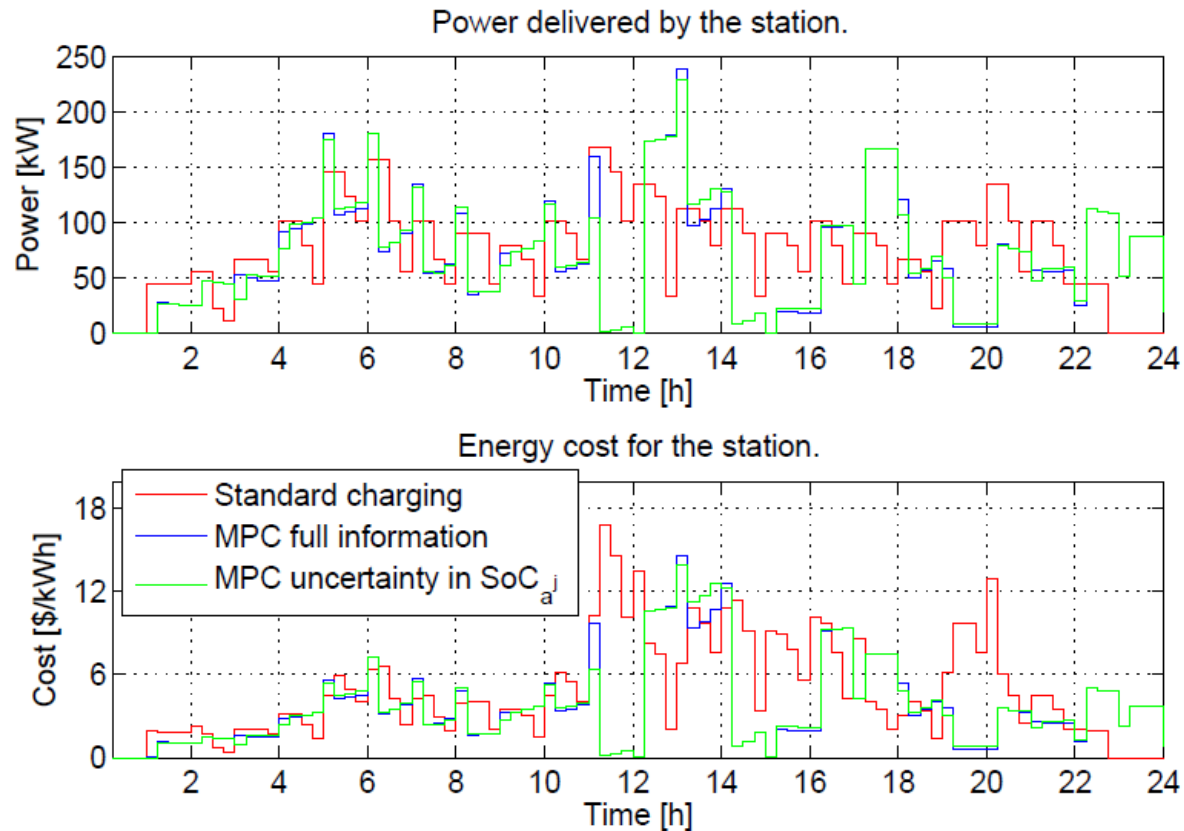




Case Study



- A Monte Carlo simulation is performed with 1000 realizations.
- There are used 25 chargers and 100 EVs



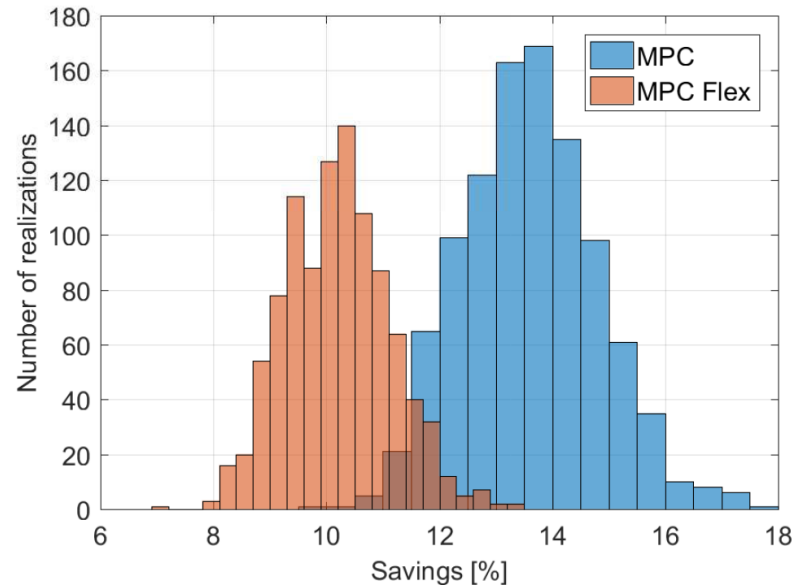
Average saving: 21%



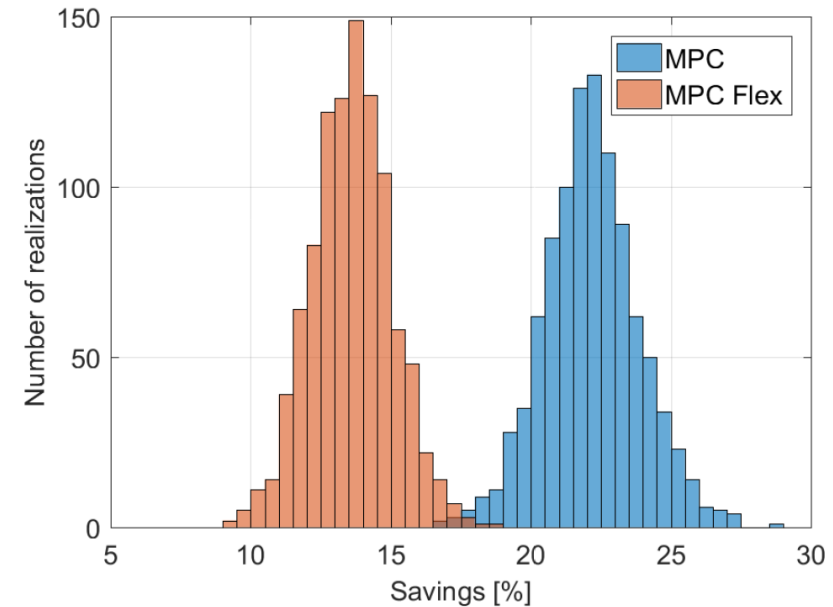
Case Study

1000 iterations, randomizing EV arrival and departure time and Arrival SoC.

Price 1



Price 2



Charge Strategy	Average Cost [\$]	Minimum Saving	Average Savings	Maximum Saving
Minimum Time	456.07	-	-	-
Economic MPC	394.06	9.80%	13.59%	17.91%
MPC - Flex Max	409.51	7.01%	10.20%	13.27%

Charge Strategy	Average Cost [\$]	Minimum Saving	Average Savings	Maximum Saving
Minimum Time	433.80	-	-	-
Economic MPC	337.67	16.57%	22.15%	28.73%
MPC - Flex Max	374.75	9.14%	13.60%	18.63%



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